

NEW ERA ALKALOIDS & EXPORT LIMITED

REGD. OFFICE- 501, WALLFORT OZONE, FAFADIH, RAIPUR (C. G.) 492001
CIN- L24100CT1994PLC008842

14TH January, 2020

1. Head- Listing & Compliance Metropolitan Stock Exchange of India Ltd. (MSEI) Vibgyor Towers, 4th floor, Plot No C 62, G - Block, Opp. Trident Hotel, Bandra Kurla Complex, Bandra (E), Mumbai - 400 098	2. The Head - Listing & Compliances, Calcutta Stock Exchange Limited, 7, Lyons Range, Kolkata, (W. B.) - 700001 BY SPEED POST
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Dear Sir/Madam,

SUBMISSION OF QUARTERLY COMPLIANCES FOR THE QUARTER ENDED ON 31ST DECEMBER, 2019

We are submitting herewith following quarterly compliances -

1. Shareholding Pattern of specified securities under Regulation 31(1) (b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
2. Report on Corporate Governance under Regulation 27 (2) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015

The above mentioned submissions have already been submitted through e-mail.

Kindly take the submission on records in compliance of the above mentioned regulations and acknowledge the same.

Yours faithfully,
For, New Era Alkaloids & Exports Limited,


(Monika Jain)
Company Secretary & Compliance Officer



Encl: As stated above.

Shareholding of specified securities for the Quarter ended 31st DECEMBER, 20191 Name of Listed Entity: **NEW ERA ALKALOIDS & EXPORTS LIMITED**2 Scrip Code/Name of Scrip/Class of Security: **530303 / Equity Shares**3 Share Holding Pattern Filed under: **Reg.31(1)(b)**

a. If under 31(1)(b) then indicate the report for Quarter ending: 31/12/2019

b. If under 31(1)(c) then indicate date of allotment/extinguishment

4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?	-	No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3 Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4 Whether the Listed Entity has any shares in locked - in?	-	No
5 Whether any shares held by promoters are pledge or otherwise encumbered?	-	No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked - in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows :-



NEW ERA ALKALOIDS & EXPORTS LIMITED
SHAREHOLDING PATTERN AS ON 31/12/2019
Table - I Summary Statement holding of specified securities

Category (I)	Category of Shareholder (II)	No of Shareholders (III)	No. of fully paid Equity Share Held (IV)	No. Of Partly Paid Equity Shares Held (V)	No. Of shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = IV+V+VI	Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (VIII) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (IX)				No of shares underlying outstanding convertible securities (including warrants) (X)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XI)=VII+X as a % of (A+B+C2)	No. of Locked in Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)		No of Equity Shares Held in Dematerialized form (XIV)
								Class X	Class Y	Total	Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(A)	Promoter & Promoter Group	9	122700	0	0	122700	3.7358	122700	0	122700	3.7358	0	3.7358	0	0.0000	0	0.0000	97700
(B)	Public	184	3161700	0	0	3161700	96.2642	3161700	0	3161700	96.2642	0	96.2642	0	0.0000	0	0.0000	57400
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(C2)	Share Held By Employees Trusts	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total A+B+C1+C2	193	3284400	0	0	3284400	100.0000	3284400	0	3284400	100.0000	0	100.0000	0	0.0000	0	0.0000	155100



NEW ERA ALKALOIDS & EXPORTS LIMITED
SHAREHOLDING PATTERN AS ON 31/12/2019
Table - II Statement showing shareholding pattern of the Promoter and Promer Group

S.No.	Category & Name of the Shareholder (I)	PAN (II)	No of Shareholders (III)	No. of fully paid Equity Share Held (IV)	No. Of Partly Paid Equity Shares Held (V)	No. Of shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = IV+V+VI	Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (VIII) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (IX)				No of shares underlying outstanding convertible securities (including warrants) (X)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XI)=VII+X as a % of (A+B+C2)	No. of Locked in Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)		No of Equity Shares Held In Dematerialized form (XIV)
									Class X	Class Y	Total	Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(1)	Indian																		
(a)	Individual		9	122700	0	0	122700	3.7358	122700	0	122700	3.7358	0	3.7358	0	0.0000	0	0.0000	97700
	Basantlal Pokharna	CPKPP6945N		10000	0	0	10000	0.3045	10000	0	10000	0.3045	0	0.3045	0	0.0000	0	0.0000	10000
	Lalita Pokharna	ADAPPB687X		15000	0	0	15000	0.4567	15000	0	15000	0.4567	0	0.4567	0	0.0000	0	0.0000	15000
	Manisha Kamra	AGXPC4574S		10000	0	0	10000	0.3045	10000	0	10000	0.3045	0	0.3045	0	0.0000	0	0.0000	0
	Pranjal Kamra	DOYPK0288H		2500	0	0	2500	0.0761	2500	0	2500	0.0761	0	0.0761	0	0.0000	0	0.0000	2500
	Ravi Kamra	AEVPO805N		30100	0	0	30100	0.9165	30100	0	30100	0.9165	0	0.9165	0	0.0000	0	0.0000	30100
	Ravindra Pokharna	ADHPP3465G		20100	0	0	20100	0.6120	20100	0	20100	0.6120	0	0.6120	0	0.0000	0	0.0000	20100
	Samir Kamra	AAFPK9062M		5000	0	0	5000	0.1522	5000	0	5000	0.1522	0	0.1522	0	0.0000	0	0.0000	0
	Shalini Pokharna	ADHPP5450D		20000	0	0	20000	0.6089	20000	0	20000	0.6089	0	0.6089	0	0.0000	0	0.0000	20000
	Veena Kamra	AKLPK9005C		10000	0	0	10000	0.3045	10000	0	10000	0.3045	0	0.3045	0	0.0000	0	0.0000	0
(b)	Huf			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Central Govt./state Govt./ President Of India			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Financial Inst/banks			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any other																		
	Other - Body Corporate			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub - Total		9	122700	0	0	122700	3.7358	122700	0	122700	3.7358	0	3.7358	0	0.0000	0	0.0000	97700
(2)	Foreign																		
(a)	Individual(nri/ Foreign Individual)			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other																		
	Sub - Total			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promotor Group		9	122700	0	0	122700	3.7358	122700	0	122700	3.7358	0	3.7358	0	0	0	0	97700



NEW ERA ALKALOIDS & EXPORTS LIMITED
SHAREHOLDING PATTERN AS ON 31/12/2019
Table - III Statement showing shareholding pattern of the Public shareholding

S.No.	Category & Name of the Shareholder (I)	PAN (II)	No of Shareholders (III)	No. of fully paid Equity Share Held (IV)	No. Of Partly Paid Equity Shares Held (V)	No. Of shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = IV+V+VI	Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (VIII) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (IX)				No of shares underlying outstanding convertible securities (including warrants) (X)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XI)=VII+X as a % of (A+B+C2)	No. of Locked in Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)		No of Equity Shares Held in Dematerialized form (XIV)
															No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
									Class X	Class Y	Total	Total as a % of (A+B+C)							
(1)	Institutions																		
(a)	Mutual Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(f)	Financial Inst/banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(g)	Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(h)	Provident Funds/ Pension Fund		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(i)	Any other																		
	Sub-total (B)(1)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(2)	Central Govt./state Govt/ President Of India		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub-total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(3)	Non-institutions																		
(a)	(i) Individual-Holding Nom. Val. upto Rs.2 lakhs		92	107600	0	0	107600	3.2761	107600	0	107600	3.2761	0	3.2761	0	0.0000	0	0.0000	26200
	(ii) Individual-Holding Nom. Val. greater than Rs.2 lakhs		84	2758400	0	0	2758400	83.9849	2758400	0	2758400	83.9849	0	83.9849	0	0.0000	0	0.0000	28500
	Aditya Vikram Guglani	BNUPG7190R		38600	0	0	38600	1.1753	38600	0	38600	1.1753	0	1.1753	0	0.0000	0	0.0000	0
	Ajay Verma	AFHPV9486R		57800	0	0	57800	1.7598	57800	0	57800	1.7598	0	1.7598	0	0.0000	0	0.0000	0
	Akshay Guglani	ASEPG9197I		38200	0	0	38200	1.1631	38200	0	38200	1.1631	0	1.1631	0	0.0000	0	0.0000	0
	Alka Kapoor	ASVPK5173D		39000	0	0	39000	1.1874	39000	0	39000	1.1874	0	1.1874	0	0.0000	0	0.0000	0
	Amrita Karnavat	EGTPK9924E		58500	0	0	58500	1.7811	58500	0	58500	1.7811	0	1.7811	0	0.0000	0	0.0000	0
	Anju Rani	COPPR2716F		38700	0	0	38700	1.1783	38700	0	38700	1.1783	0	1.1783	0	0.0000	0	0.0000	0
	Ashish Kumar	EKRPK9346C		58500	0	0	58500	1.7811	58500	0	58500	1.7811	0	1.7811	0	0.0000	0	0.0000	0
	Avinash Karnavat	AWNPK7105R		37800	0	0	37800	1.1509	37800	0	37800	1.1509	0	1.1509	0	0.0000	0	0.0000	0
	Baboo Kumar	ASMPK3016D		39000	0	0	39000	1.1874	39000	0	39000	1.1874	0	1.1874	0	0.0000	0	0.0000	0
	Baldev Raj Jolly	ACBPJ9511C		38400	0	0	38400	1.1692	38400	0	38400	1.1692	0	1.1692	0	0.0000	0	0.0000	0
	Bhudeai Sharma	ARLP55448Q		59200	0	0	59200	1.8025	59200	0	59200	1.8025	0	1.8025	0	0.0000	0	0.0000	0
	Chaitanya Guglani	BRVPG6196E		38400	0	0	38400	1.1692	38400	0	38400	1.1692	0	1.1692	0	0.0000	0	0.0000	0
	Chanchal Sharma	ABJP59218E		58200	0	0	58200	1.7720	58200	0	58200	1.7720	0	1.7720	0	0.0000	0	0.0000	0
	Dhani Ram Sharma Huf	AAHHD1746F		34900	0	0	34900	1.0626	34900	0	34900	1.0626	0	1.0626	0	0.0000	0	0.0000	0
	Dhani Ram Sharma	BACPS5581F		59000	0	0	59000	1.7964	59000	0	59000	1.7964	0	1.7964	0	0.0000	0	0.0000	0
	Gian Chand Sharma	AUSPS8573N		37300	0	0	37300	1.1357	37300	0	37300	1.1357	0	1.1357	0	0.0000	0	0.0000	0
	Kritika Guglani	BITPG9275K		39100	0	0	39100	1.1905	39100	0	39100	1.1905	0	1.1905	0	0.0000	0	0.0000	0
	Kuldeep Kumar Guglani	AAFPK4241C		39000	0	0	39000	1.1874	39000	0	39000	1.1874	0	1.1874	0	0.0000	0	0.0000	0
	Manoj Kumar Kansal	ATHPK9557G		57300	0	0	57300	1.7446	57300	0	57300	1.7446	0	1.7446	0	0.0000	0	0.0000	0
	Monika Guglani	AAHPG5841Q		38800	0	0	38800	1.1813	38800	0	38800	1.1813	0	1.1813	0	0.0000	0	0.0000	0
	Neelam Kumari	AQZPK4478M		34100	0	0	34100	1.0382	34100	0	34100	1.0382	0	1.0382	0	0.0000	0	0.0000	0
	Rajesh Kumar Guglani	AAJPG4602P		39000	0	0	39000	1.1874	39000	0	39000	1.1874	0	1.1874	0	0.0000	0	0.0000	0
	Seema Guglani	AAHPG5840R		39000	0	0	39000	1.1874	39000	0	39000	1.1874	0	1.1874	0	0.0000	0	0.0000	0
	Shalish Kumar	EJGPK1719D		58400	0	0	58400	1.7781	58400	0	58400	1.7781	0	1.7781	0	0.0000	0	0.0000	0
	Sonia Guglani	AETPG6047G		38400	0	0	38400	1.1692	38400	0	38400	1.1692	0	1.1692	0	0.0000	0	0.0000	0
	Suneeta	IPBPS5190P		38900	0	0	38900	1.1844	38900	0	38900	1.1844	0	1.1844	0	0.0000	0	0.0000	0
	Sunil Kumar Popli	AOKPP7505F		36300	0	0	36300	1.1052	36300	0	36300	1.1052	0	1.1052	0	0.0000	0	0.0000	0
	Sunita Jolly	AFJPJ4031L		37800	0	0	37800	1.1509	37800	0	37800	1.1509	0	1.1509	0	0.0000	0	0.0000	0
	Tara Chand Sharma	BHJPS4060B		38900	0	0	38900	1.1844	38900	0	38900	1.1844	0	1.1844	0	0.0000	0	0.0000	0
	Vikas	AFTPV0737K		38800	0	0	38800	1.1813	38800	0	38800	1.1813	0	1.1813	0	0.0000	0	0.0000	0
	Vinod Kumar Gurjar	APNPG2667P		52500	0	0	52500	1.5985	52500	0	52500	1.5985	0	1.5985	0	0.0000	0	0.0000	0
(b)	Nbfc Registered With Rbi		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Employees Trust		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Overseas Depository Holding DRs		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other																		
	Other - Body Corp		3	291200	0	0	291200	8.8662	291200	0	291200	8.8662	0	8.8662	0	0.0000	0	0.0000	700
	Mahanadi Metals & Chemicals Pvt Ltd	AACCM4844R		278900	0	0	278900	8.4917	278900	0	278900	8.4917	0	8.4917	0	0.0000	0	0.0000	2000
	Other - N R I - REPATARIABLE		5	4500	0	0	4500	0.137	4500	0	4500	0.137	0	0.137	0	0.0000	0	0.0000	57400
	Sub-total (B)(3)		184	3161700	0	0	3161700	96.2642	3161700	0	3161700	96.2642	0	96.2642	0	0.0000	0	0.0000	57400
	Total Public Shareholding		184	3161700	0	0	3161700	96.2642	3161700	0	3161700	96.2642	0	96.2642	0	0.0000	0	0.0000	57400



NEW ERA ALKALOIDS & EXPORTS LIMITED
SHAREHOLDING PATTERN AS ON 31/12/2019

Table - IV Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

S.No.	Category & Name of the Shareholder (I)	PAN (II)	No of Shareholders (III)	No. of fully paid Equity Share Held (IV)	No. Of Partly Paid Equity Shares Held (V)	No. Of shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = IV+V+VI	Shareholding % as of total no of Shares (VIII) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (IX)				No of shares underlying outstanding convertible securities (including warrants) (X)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XI)=VII+X as a % of (A+B+C2)	No. of Locked in Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)		No of Equity Shares Held in Dematerialized form (XIV)
									Class X	Class Y	Total	Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(1)	Custodian/DR Holder																		
(a)	names																		
(2)	Employees Benefit Trust (under SEBI Based employees benefit) Regulation 2014																		
(a)	names																		
	Total Non-Promoter Non Public shareholding C = C1+C2																		



NEW ERA ALKALOIDS & EXPORTS LIMITED
SHAREHOLDING PATTERN AS ON 31/12/2019
Table V- Statement showing details of significant beneficial owners

Sr. No.	Details of the significant beneficial owner (i)			Details of the registered owner (id)			Particulars of the shares in which significant		Date of creation / acquisition of significant beneficial interest (IV)
	Name	PAN	Nationality	Name	PAN	Nationality	Number of shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	

