

NEW ERA ALKALOIDS & EXPORT LIMITED

REGD. OFFICE- 21, FREE GANJ, RATLAM (M.P.) 457001
CORP. OFFICE: 501, WALLFORT OZONE, FAFADIH, RAIPUR (C. G.) 492001
CIN-L02423MP1994PLC008116

6th April, 2018

1. Head- Listing & Compliance Metropolitan Stock Exchange of India Ltd. (MSEI) Vibgyor Towers, 4th floor, Plot No C 62, G - Block, Opp. Trident Hotel, Bandra Kurla Complex, Bandra (E), Mumbai - 400 098	2. The Head - Listing & Compliances, Calcutta Stock Exchange Limited, 7, Lyons Range, Kolkata, (W. B.) - 700001 BY SPEED POST
--	--

Dear Sir/Madam,

SUBMISSION OF SHAREHOLDING PATTERN OF SPECIFIED SECURITIES FOR THE QUARTER ENDED ON 31st MARCH, 2018

We are submitting herewith Shareholding Pattern of specified securities under Regulation 31(1) (b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter ended on 31st March, 2018.

Kindly take the submission on records in compliance of the above mentioned regulations and acknowledge the same.

Yours faithfully,
For, New Era Alkaloids & Exports Limited,

(Monika Jain)
Company Secretary & Compliance Officer

Encl: As stated above.

SR RAIPUR RMS 492001
PIN-501220RACR0433R1ZS
EL036324516IN

Counter No:1,OP-Code:001
To:HEAD LISTING COM,LYONS RANGE
KOLKATA, PIN:700001

From:NEW ERA ALKALOIDS E LTD , FAFADIH RAIPUR.

Wt:62grams, ,07/04/2018 ,15:14

Amt:47.00

,CGST @9% 3.5 ,SGST @9%: 3.50

<<Track on www.indiapost.gov.in>>

भारतीय डाक



India Post

Securities and Exchange Board of India

Annexure - I

Shareholding of specified securities for the Quarter ended 31ST MARCH, 2018

1 Name of Listed Entity:

NEW ERA ALKALOIDS & EXPORTS LIMITED

2 Scrip Code/Name of Scrip/Class of Security: **530303 / Equity Shares**

3 Share Holding Pattern Filed under: **Reg.31(1)(b)**

- a. If under 31(1)(b) then indicate the report for Quarter ending: 31ST MARCH, 2018
- b. If under 31(1)(c) then indicate date of allotment/extinguishment

4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?	-	No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3 Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4 Whether the Listed Entity has any shares in locked - in?	-	No
5 Whether any shares held by promoters are pledge or otherwise encumbered?	-	No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked - in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows :-



NEW ERA ALKALOIDS & EXPORTS LIMITED
SHAREHOLDING PATTERN AS ON 31/03/2018
Table - 1 Summary Statement holding of specified securities

Category (i)	Category of Shareholder (ii)	No of Shareholders (iii)	No. of fully paid Equity Share Held (iv)	No. Of Partly Paid Equity Shares Held (v)	No. Of shares underlying Depository Receipts (vi)	Total Nos. Shares Held (vii) = iv+v+vi	Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (viii) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (ix)			Total as a % of (A+B+C)	No of shares underlying outstanding convertible securities (including warrants) (x)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (xi)=vii+x as a % of (A+B+C2)	No. of Locked in Shares (xii)		No of Shares pledged or otherwise encumbered (xiii)		No of Equity Shares Held in Dematerialized form (xiv)
								Class X	Class Y	Total				No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(A)	Promoter & Promoter Group	9	122700	0	0	122700	3.7358	122700	0	122700	3.7358	0	3.7358	0	0.0000	0	0.0000	97700
(B)	Public	4563	3161700	0	0	3161700	96.2642	3161700	0	3161700	96.2642	0	96.2642	0	0.0000	0	0.0000	12000
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(C2)	Share Held By Employees Trusts	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total A+B+C1+C2	4572	3284400	0	0	3284400	100.0000	3284400	0	3284400	100.0000	0	100.0000	0	0.0000	0	0.0000	109700

NEW ERA ALKALOIDIS & EXPORTS LIMITED
SHAREHOLDING PATTERN AS ON 31/03/2018
Table - II Statement showing shareholding pattern of the Promoter and Promoter Group

S.No.	Category & Name of the Shareholder (i)	PAN (ii)	No of Shareholders (iii)	No. of fully paid Equity Share Held (iv)	No. Of Partly Paid Equity Shares Held (v)	No. Of shares underlying Depository Receipts (vi)	Total Nos. Shares Held (vii) = IV+V+VI	Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (viii) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (ix)				No of shares underlying outstanding convertible securities (including warrants) (x)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (xi)=(vii)+x as a % of (A+B+C2)	No. of Locked in Shares (xii)	No of Shares pledged or otherwise encumbered (xiii)	No of Equity Shares Held in Dematerialized form (xiv)
(1)	Indian		9	122700	0	0	122700	3.7358	Class X	Class Y	Total	Total as a % of (A+B+C)					
(a)	Individual																
	Basantial Pokharna	CPKPP6945N		10000	0	0	10000	0.3045	10000	0	10000	0.3045	0	0.3045	0	0.0000	10000
	Lalit Pokharna	ADAPP8687K		15000	0	0	15000	0.4567	15000	0	15000	0.4567	0	0.4567	0	0.0000	15000
	Manisha Kamra	AGXP4574S		10000	0	0	10000	0.3045	10000	0	10000	0.3045	0	0.3045	0	0.0000	10000
	Pranjal Kamra	DOVPK028BH		2500	0	0	2500	0.0761	2500	0	2500	0.0761	0	0.0761	0	0.0000	2500
	Ravi Kamra	AEVPG0805N		30100	0	0	30100	0.9165	30100	0	30100	0.9165	0	0.9165	0	0.0000	30100
	Ravindra Pokharna	ADHP3465G		20100	0	0	20100	0.6120	20100	0	20100	0.6120	0	0.6120	0	0.0000	20100
	Samir Kamra	AAFP9062M		5000	0	0	5000	0.1522	5000	0	5000	0.1522	0	0.1522	0	0.0000	5000
	Shalin Pokharna	ADHP95450D		20000	0	0	20000	0.6089	20000	0	20000	0.6089	0	0.6089	0	0.0000	20000
	Veena Kamra	AKLP9005C		10000	0	0	10000	0.3045	10000	0	10000	0.3045	0	0.3045	0	0.0000	10000
(b)	Huf		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
(c)	Central Govt /state Govt/ President Of India		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
(d)	Financial Inst/banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any other		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
	Other - Body Corporate		9	122700	0	0	122700	3.7358	122700	0	122700	3.7358	0	3.7358	0	0.0000	97700
	Sub - Total																
(2)	Foreign																
(a)	Individual(In/ Foreign Individual)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
	Sub - Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group		9	122700	0	0	122700	3.7358	122700	0	122700	3.7358	0	3.7358	0	0.0000	97700



NEW ERA ALKALOIDS & EXPORTS LIMITED
SHAREHOLDING PATTERN AS ON 31/03/2018
Table - III Statement showing shareholding pattern of the Public shareholding

S.No.	Category & Name of the Shareholder (i)	PAN (ii)	No of Shareholders (iii)	No. of fully paid Equity Shares Held (iv)	No. Of Partly Paid Equity Shares Held (v)	No. Of shares underlying Depository Receipts (vi)	Total Nos. Shares Held (vii) = IV+V+VI	Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (viii) as a % of (A+B+C)	No of Voting Rights held in each class of securities (ix)			Total as a % of (A+B+C)	No of shares underlying outstanding convertible securities (including warrants) (x)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (xi)=viii+x as a % of (A+B+C2)	No. of Locked in Shares (xii)		No of Shares pledged or otherwise encumbered (xiii)		No of Equity Shares Held in Dematerialized form (xiv)
									Class X	Class Y	Total					As a % of total shares held (b)	As a % of total shares held (b)		
(1)	Institutions																		
(a)	Mutual Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(f)	Financial Inst/banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(g)	Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(h)	Provident Funds/ Pension Fund		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(i)	Any other		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(2)	Sub-total (B)(1)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(2)	Central Govt./state Govt./ President Of India		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(3)	Sub-total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(3)	Non-institutions																		
(a)	(i) Individual-Holding Nom. Val. upto Rs.2 lakhs		4316	2569000	0	0	2569000	78.2182	2569000	0	#####	78.2182	0	78.2182	0	0.0000	0	0.0000	100000
	(ii) Individual-Holding Nom. Val. greater than Rs.2 lakhs		4	243600	0	0	243600	7.4169	243600	0	80300	7.4169	0	7.4169	0	0.0000	0	0.0000	0
	Arundra Nath Agrawal			80300	0	0	80300	2.4449	80300	0	80300	2.4449	0	2.4449	0	0.0000	0	0.0000	0
	Shaman Das Sachdev			80000	0	0	80000	2.4358	80000	0	80000	2.4358	0	2.4358	0	0.0000	0	0.0000	0
	Subhash Chandra Chaturvedi			63200	0	0	63200	1.9242	63200	0	63200	1.9242	0	1.9242	0	0.0000	0	0.0000	0
(b)	Nbrc Registered With Rbi		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Employees Trust		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Overseas Depository Holding DRs		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other																		
	Other - Body Corp		7	39100	0	0	39100	1.1905	39100	0	39100	1.1905	0	1.1905	0	0.0000	0	0.0000	0
	Other - N R I - REPATRIABLE		235	307500	0	0	307500	9.3624	307500	0	307500	9.3624	0	9.3624	0	0.0000	0	0.0000	20000
	Other - Individual HUF		1	2500	0	0	2500	0.0761	2500	0	2500	0.0761	0	0.0761	0	0.0000	0	0.0000	0
	Sub-total (B)(3)		4563	3161700	0	0	3161700	96.2642	3161700	0	#####	96.2642	0	96.2642	0	0.0000	0	0.0000	12000
	Total Public Shareholding		4563	3161700	0	0	3161700	96.2642	3161700	0	#####	96.2642	0	96.2642	0	0.0000	0	0.0000	12000



NEW ERA ALKALOIDS & EXPORTS LIMITED
SHAREHOLDING PATTERN AS ON 31/03/2018
Table - IV Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

S.No.	Category & Name of the Shareholder (i)	PAN (ii)	No of Sharehold ers (iii)	No. of fully paid Equity Share Held (iv)	No. Of Partly Paid Equity Shares Held (v)	No. Of shares underlying Depository Receipts (vi)	Total Nos. Shares Held (vii) = IV+V+VI	Sharehold ing % as of total no of Shares (viii) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (ix)				No of shares underlying outstanding convertible securities (including warrants) (x)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (xi)=vii+X as a % of (A+B+C2)	No. of Locked In Shares (xii)	No of Shares pledged or otherwise encumbered (xiii)	No of Equity Shares Held in Demateri alized form (xiv)	
									Class X	Class Y	Total	Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a) total shares held (b)	
(1)	Custodian/DR Holder																	
(a)	names																	
(2)	Employees Benefit Trust (under SEBI Based employees benefit) Regulation 2014																	
(a)	names																	
	Total Non-Promoter Non Public shareholding C = C1+C2																	

