

NEW ERA ALKALOIDS & EXPORT LIMITED

REGD. OFFICE- 501, WALLFORT OZONE, FAFADIH, RAIPUR (C. G.) 492001
CIN- L24100CT1994PLC008842

12th January, 2019

1. Head- Listing & Compliance Metropolitan Stock Exchange of India Ltd. (MSEI) Vibgyor Towers, 4th floor, Plot No C 62, G - Block, Opp. Trident Hotel, Bandra Kurla Complex, Bandra (E), Mumbai - 400 098	2. The Head - Listing & Compliances, Calcutta Stock Exchange Limited, 7, Lyons Range, Kolkata, (W. B.) - 700001 BY SPEED POST
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Dear Sir/Madam,

SUBMISSION OF QUARTERLY COMPLIANCES FOR THE QUARTER ENDED ON 31ST DECEMBER, 2018

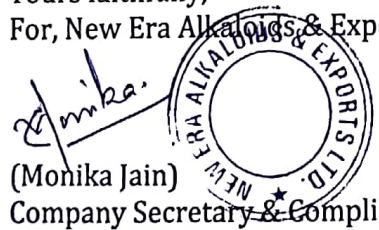
We are submitting herewith following quarterly compliances -

1. Shareholding Pattern of specified securities under Regulation 31(1) (b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
2. Report on Corporate Governance under Regulation 27 (2) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015

The above mentioned submissions have already been submitted through e-mail.

Kindly take the submission on records in compliance of the above mentioned regulations and acknowledge the same.

Yours faithfully,
For, New Era Alkaloids & Exports Limited,


(Monika Jain)
Company Secretary & Compliance Officer

Encl: As stated above.

Securities and Exchange Board of India

Annexure - I

Shareholding of specified securities for the Quarter ended 31st December, 2018

1 Name of Listed Entity:

NEW ERA ALKALOIDS & EXPORTS LIMITED

2 Scrip Code/Name of Scrip/Class of Security: 530303 / Equity Shares

3 Share Holding Pattern Filed under: Reg.31(1)(b)

a. If under 31(1)(b) then indicate the report for Quarter ending: 31/12/2018

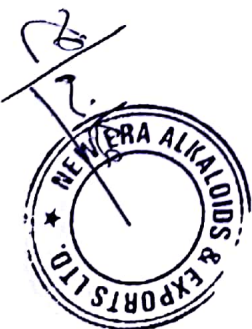
b. If under 31(1)(c) then indicate date of allotment/extinguishment

4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?	-	No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3 Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4 Whether the Listed Entity has any shares in locked - in?	-	No
5 Whether any shares held by promoters are pledge or otherwise encumbered?	-	No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked - in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows :-



NEW ERA ALKALOID & EXPORTS LIMITED
SHAREHOLDING PATTERN AS ON 31/12/2018
Table - I Summary Statement holding of specified securities

Category (i)	Category of Shareholder (ii)	No of Shareholders (iii)	No. of fully paid Equity Share Held (iv)	No. Of Partly Paid Equity Shares Held (v)	No. Of shares underlying Depository Receipts (vi)	Total Nos. Shares Held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (viii) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (ix)				No of shares underlying convertible securities (including warrants) (x)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (xi) = (vii)+(x) as a % of (A+B+C2)	No. of Locked In Shares (xii)		No of Shares pledged or otherwise encumbered (xiii)		No of Equity Shares Held in Dematerialized form (xiv)
								Class X	Class Y	Total	Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(A)	Promoter & Promoter Group	9	122700	0	0	122700	3.7358	122700	0	122700	3.7358	0	3.7358	0	0.0000	0	0.0000	97700
(B)	Public	3911	3161700	0	0	3161700	96.2642	3161700	0	3161700	96.2642	0	96.2642	0	0.0000	0	0.0000	17800
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(C2)	Share Held By Employees Trusts	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total A+B+C1+C2	3920	3284400	0	0	3284400	100.0000	3284400	0	3284400	100.0000	0	100.0000	0	0.0000	0	0.0000	115500



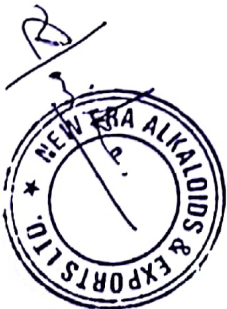
NEW ERA ALKALOIDS & EXPORTS LIMITED
SHAREHOLDING PATTERN AS ON 31/12/2018
Table - III Statement showing shareholding pattern of the Public shareholding

S.No.	Category & Name of the Shareholder (i)	PAN (ii)	No of Shareholders (iii)	No. of fully paid Equity Share Held (iv)	No. Of Partly Paid Equity Shares Held (v)	No. Of shares underlying Depository Receipts (vi)	Total Nos. Shares Held (vii) = IV+V+VI	Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (viii) as a % of (A+B+C)	No of Voting Rights held in each class of securities (ix)			No of shares underlying outstanding convertible securities (including warrants) (x)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (xi)=viii+x as a % of (A+B+C)	No. of Locked In Shares (xii)		No of Shares pledged or otherwise encumbered (xiii)	No of Equity Shares Held in Dematerialized form (xiv)		
									Class X	Class Y	Total	Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(1)	Institutions																		
(a)	Mutual Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(f)	Financial Inst/banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(g)	Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(h)	Provident Funds/ Pension Fund		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(i)	Any other		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub-total (B)(1)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(2)	Central Govt /state Govt/ President Of India		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub-total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(3)	Non-institutions		3665	1646300	0	0	1646300	50.1248	1646300	0	1646300	50.1248	0	50.1248	0	0.0000	0	0.0000	15800
(a)	(i) Individual-Holding Nom. Val. upto Rs.2 lakhs		28	918700	0	0	918700	27.9716	918700	0	918700	27.9716	0	27.9716	0	0.0000	0	0.0000	0
	(ii) Individual-Holding Nom. Val. greater than Rs.2 lakhs			80300	0	0	80300	2.4449	80300	0	80300	2.4449	0	2.4449	0	0.0000	0	0.0000	0
	Arvindra Nath Agrawal			80000	0	0	80000	2.4358	80000	0	80000	2.4358	0	2.4358	0	0.0000	0	0.0000	0
	Shaman Das Sachdev			63200	0	0	63200	1.9242	63200	0	63200	1.9242	0	1.9242	0	0.0000	0	0.0000	0
(b)	Subhash Chandra Chaturvedi		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Nbfc Registered With Rbi		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Employees Trust		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Overseas Depository Holding DRS		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Any Other		7	315700	0	0	315700	9.6121	315700	0	315700	9.6121	0	9.6121	0	0.0000	0	0.0000	0
	Other - Body Corp			278900	0	0	278900	8.4917	278900	0	278900	8.4917	0	8.4917	0	0.0000	0	0.0000	2000
	Maharajati Metals & Chemicals Pvt Ltd	AACCM484AR		281000	0	0	281000	8.5556	281000	0	281000	8.5556	0	8.5556	0	0.0000	0	0.0000	178000
	Other - N R I - REPATRIABLE		211	3161700	0	0	3161700	96.2642	3161700	0	3161700	96.2642	0	96.2642	0	0.0000	0	0.0000	0
	Sub-total (B)(3)		3911	3161700	0	0	3161700	96.2642	3161700	0	3161700	96.2642	0	96.2642	0	0.0000	0	0.0000	17800
	Total Public Shareholding		3911	3161700	0	0	3161700	96.2642	3161700	0	3161700	96.2642	0	96.2642	0	0.0000	0	0.0000	17800



NEW ERA ALKALOIDS & EXPORTS LIMITED
SHAREHOLDING PATTERN AS ON 31/12/2018
Table - II Statement showing shareholding pattern of the Promoter and Promer Group

S.No.	Category & Name of the Shareholder (I)	PAN (II)	No of Shareholders (III)	No. of fully paid Equity Share held (IV)	No. Of Partly Paid Equity Shares Held (V)	No. Of shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = IV+V+VI	Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (VIII) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (IX)			Total as a % of (A+B+C)	No of shares underlying convertible securities (including warrants) (X)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XI) = VII+X as a % of (A+B+C2)	No. of Locked in Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)		No of Equity Shares Held in Dematerialized form (XIV)
									Class X	Class Y	Total					As a % of total shares held (b)	As a % of total shares held (b)		
(1)	Indian Individual		9	122700	0	0	122700	3.7358	122700	0	122700	3.7358	0	3.7358	0	0.0000	0	0.0000	97700
(a)	Basantlal Pokharna	CPKPP6945N		10000	0	0	10000	0.3045	10000	0	10000	0.3045	0	0.3045	0	0.0000	0	0.0000	10000
	Lalit Pokharna	ADAP8687K		15000	0	0	15000	0.4567	15000	0	15000	0.4567	0	0.4567	0	0.0000	0	0.0000	15000
	Manisha Karna	AGXPC4574S		10000	0	0	10000	0.3045	10000	0	10000	0.3045	0	0.3045	0	0.0000	0	0.0000	2500
	Pranjal Karna	DOYFK0288H		2500	0	0	2500	0.0761	2500	0	2500	0.0761	0	0.0761	0	0.0000	0	0.0000	30100
	Ravi Karna	AEVFK0805N		30100	0	0	30100	0.9165	30100	0	30100	0.9165	0	0.9165	0	0.0000	0	0.0000	20100
	Ravindra Pokharna	ADHPK3465G		20100	0	0	20100	0.6120	20100	0	20100	0.6120	0	0.6120	0	0.0000	0	0.0000	0
	Samir Karna	AAFPK3062M		5000	0	0	5000	0.1522	5000	0	5000	0.1522	0	0.1522	0	0.0000	0	0.0000	20000
	Shailini Pokharna	ADHPK5450D		20000	0	0	20000	0.6089	20000	0	20000	0.6089	0	0.6089	0	0.0000	0	0.0000	0
	Veena Karna	AKLPK3005C		10000	0	0	10000	0.3045	10000	0	10000	0.3045	0	0.3045	0	0.0000	0	0.0000	0
(b)	Hdfc		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Central Govt / state Govt/ President Of India		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Financial Inst/banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any other		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Other - Body Corporate		9	122700	0	0	122700	3.7358	122700	0	122700	3.7358	0	3.7358	0	0.0000	0	0.0000	97700
	Sub - Total																		
(2)	Foreign Individual/Foreign Individual)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(a)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Sub - Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group		9	122700	0	0	122700	3.7358	122700	0	122700	3.7358	0	3.7358	0	0.0000	0	0.0000	97700



NEW ERA ALKALIDS & EXPORTS LIMITED
SHAREHOLDING PATTERN AS ON 31/12/2018
Table - IV Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

S.No.	Category & Name of the Shareholder (I)	PAN (II)	No of Shareholders (III)	No. of fully paid Equity Share Held (IV)	No. Of Partly Paid Equity Shares Held (V)	No. Of shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = IV+V+VI	Shareholding % as of total no of Shares (VIII) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (IX)				No of shares underlying outstanding convertible securities (including warrants) (X)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XI) = VII+X as a % of (A+B+C2)	No. of Locked in Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)		No of Equity Shares Held in Demat form (XIV)
									Class X	Class Y	Total	Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(1)	Custodian/DR Holder																		
(a)	names																		
(2)	Employees Benefit Trust (under SEBI Based employees benefit) Regulation 2014																		
(a)	names																		
	Total Non-Promoter Non Public shareholding C = C1+C2																		

