

NEW ERA ALKALOIDS & EXPORT LIMITED

REGD. OFFICE- 21, FREE GANJ, RATLAM (M.P.) 457001
CORP. OFFICE: 501, WALLFORT OZONE, FAFADIH, RAIPUR (C. G.) 492001
CIN-L02423MP1994PLC008116

12th October, 2017

1. Head- Listing & Compliance Metropolitan Stock Exchange of India Ltd. (MSEI) Vibgyor Towers, 4th floor, Plot No C 62, G - Block, Opp. Trident Hotel, Bandra Kurla Complex, Bandra (E), Mumbai - 400 098	2. The Head - Listing & Compliances, Calcutta Stock Exchange Limited, 7, Lyons Range, Kolkata, (W. B.) - 700001
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Dear Sir/Madam,

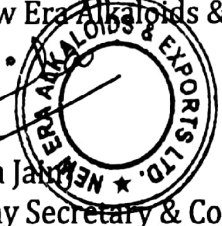
SUBMISSION OF SHAREHOLDING PATTERN OF SPECIFIED SECURITIES FOR THE QUARTER ENDED ON 30th SEPTEMBER, 2017

We are submitting herewith Shareholding Pattern of specified securities under Regulation 31(1) (b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter ended on 30th September, 2017.

Kindly take the submission on records in compliance of the above mentioned regulations and acknowledge the same.

Yours faithfully,
For, New Era Alkaloids & Exports Limited,


(Monika Jaiswal)
Company Secretary & Compliance Officer



Encl: As stated above.

Securities and Exchange Board of India

Annexure - I

Shareholding of specified securities for the Quarter ended 31st December, 2016

1 Name of Listed Entity: **NEW ERA ALKALOIDS & EXPORTS LIMITED**

2 Scrip Code/Name of Scrip/Class of Security: **530303 / Equity Shares**

3 Share Holding Pattern Filed under: **Reg.31(1)(b)**

a. If under 31(1)(b) then indicate the report for Quarter ending: **30th September, 2017**

b. If under 31(1)(c) then indicate date of allotment/extinguishment

4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?	-	No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3 Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4 Whether the Listed Entity has any shares in locked - in?	-	No
5 Whether any shares held by promoters are pledge or otherwise encumbered?	-	No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked - in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows :-



NEW ERA ALKALOIDS & EXPORTS LIMITED																		
SHAREHOLDING PATTERN AS ON 30/09/2017																		
Table - I Summary Staement holding of specified securities																		
Category (I)	Category of Shareholder (II)	No of Shareholders (III)	No. of fully paid Equity Share Held (IV)	No. Of Partly Paid Equity Shares Held (V)	No . Of shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = IV+V+VI	Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (VIII) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (IX)				No of shares underlying outstanding convertible securities (including warrants) (X)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XI)=VII+X as a % of (A+B+C2)	No. of Locked In Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)		No of Equity Shares Held in Dematerialized form (XIV)
								Class X	Class Y	Total	Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(A)	Promoter & Promoter Group	9	122700	0	0	122700	3.7358	122700	0	122700	3.7358	0	3.7358	0	0.0000	0	0.0000	30100
(B)	Public	4565	3161700	0	0	3161700	96.2642	3161700	0	3161700	96.2642	0	96.2642	0	0.0000	0	0.0000	11300
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(C2)	Share Held By Employees Trusts	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total A+B+C1+C2	4574	3284400	0	0	3284400	100.0000	3284400	0	3284400	100.0000	0	100.0000	0	0.0000	0	0.0000	41400



NEW ERA ALKALOIDS & EXPORTS LIMITED
SHAREHOLDING PATTERN AS ON 30/09/2017
Table - II Statement showing shareholding pattern of the Promoter and Promer Group

S.No.	Category & Name of the Shareholder (I)	PAN (II)	No of Shareholders (III)	No. of fully paid Equity Share Held (IV)	No. Of Partly Paid Equity Shares Held (V)	No. , Of shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = IV+V+VI	Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (VIII) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (IX)				No of shares underlying outstanding convertible securities (including warrants) (X)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XI)=VII+X as a % of (A+B+C2)	No. of Locked In Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)		No of Equity Shares Held in Dematerialized form (XIV)
									Class X	Class Y	Total	Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(1)	Indian																		
(a)	Individual		9	122700	0	0	122700	3.7358	122700	0	122700	3.7358	0	3.7358	0	0.0000	0	0.0000	30100
	Basanti Lal Pokhama	CPKPP6945N		10000	0	0	10000	0.3045	10000	0	10000	0.3045	0	0.3045	0	0.0000	0	0.0000	0
	Dhalini Pokhama	ADHPP5450D		20000	0	0	20000	0.6089	20000	0	20000	0.6089	0	0.6089	0	0.0000	0	0.0000	0
	Lalita Pokharama	AKLPP7799Q		15000	0	0	15000	0.4567	15000	0	15000	0.4567	0	0.4567	0	0.0000	0	0.0000	0
	Manisha Kamra	AGXPC4574S		10000	0	0	10000	0.3045	10000	0	10000	0.3045	0	0.3045	0	0.0000	0	0.0000	0
	Paranjali Kamra	DOYPK0288H		2500	0	0	2500	0.0761	2500	0	2500	0.0761	0	0.0761	0	0.0000	0	0.0000	0
	Ravi Kamra	AEVPK0805N		30100	0	0	30100	0.9165	30100	0	30100	0.9165	0	0.9165	0	0.0000	0	0.0000	30100
	Ravi Pokhama	ADHPP3565G		20100	0	0	20100	0.6120	20100	0	20100	0.6120	0	0.6120	0	0.0000	0	0.0000	0
	Samir Kamra	AAFPK9062M		5000	0	0	5000	0.1522	5000	0	5000	0.1522	0	0.1522	0	0.0000	0	0.0000	0
	Veena Kamra	AKLPK9005C		10000	0	0	10000	0.3045	10000	0	10000	0.3045	0	0.3045	0	0.0000	0	0.0000	0
(b)	Huf		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Central Govt./state Govt./ President Of India		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Financial Inst./banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any other		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Other - Body Corporate		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub - Total		9	122700	0	0	122700	3.7358	122700	0	122700	3.7358	0	3.7358	0	0.0000	0	0.0000	30100
(2)	Foreign																		
(a)	Individual(nrt/ Foreign Individual)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub - Total		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promotor Group		9	122700	0	0	122700	3.7358	122700	0	122700	3.7358	0	3.7358	0	0	0	0	30100



NEW ERA ALKALOIDS & EXPORTS LIMITED
SHAREHOLDING PATTERN AS ON 30/09/2017
Table - III Statement showing shareholding pattern of the Public shareholding

S.No.	Category & Name of the Shareholder (I)	PAN (II)	No of Shareholders (III)	No. of fully paid Equity Share Held (IV)	No. Of Partly Paid Equity Shares Held (V)	No. Of shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = IV+V+VI	Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (VIII) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (IX)				No of shares underlying outstanding convertible securities (including warrants) (X)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XI)=VII+X as a % of (A+B+C2)	No. of Locked in Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)		No of Equity Shares Held in Dematerialized form (XIV)
									Class X	Class Y	Total	Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(1)	Institutions																		
(a)	Mutual Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(f)	Financial Inst/banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(g)	Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(h)	Provident Funds/ Pension Fund		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(i)	Any other																		
	Sub-total (B)(1)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(2)	Central Govt /state Govt/ President Of India		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub-total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(3)	Non-institutions																		
(a)	(i) Individual-Holding Nom. Val. upto Rs.2 lakhs		4318	2569000	0	0	2569000	78.2182	2569000	0	2569000	78.2182	0	78.2182	0	0.0000	0	0.0000	9300
	(ii) Individual-Holding Nom. Val. greater than Rs.2 lakhs		4	243600	0	0	243600	7.4169	243600	0	243600	7.4169	0	7.4169	0	0.0000	0	0.0000	0
	Arvind Nath Agrawal			80300	0	0	80300	2.4449	80300	0	80300	2.4449	0	2.4449	0	0.0000	0	0.0000	0
	Shaman Das Sachdev			80000	0	0	80000	2.4358	80000	0	80000	2.4358	0	2.4358	0	0.0000	0	0.0000	0
	Subhash Chandra Chaturvedi			63200	0	0	63200	1.9242	63200	0	63200	1.9242	0	1.9242	0	0.0000	0	0.0000	0
(b)	Nbfc Registered With Rbi		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Employees Trust		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Overseas Depository Holding DRs		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other																		
	Other - Body Corp		7	39100	0	0	39100	1.1905	39100	0	39100	1.1905	0	1.1905	0	0.0000	0	0.0000	0
	Other - N R I - REPATRIABLE		235	307500	0	0	307500	9.3624	307500	0	307500	9.3624	0	9.3624	0	0.0000	0	0.0000	2000
	Other - Individual HUF		1	2500	0	0	2500	0.0761	2500	0	2500	0.0761	0	0.0761	0	0.0000	0	0.0000	0
	Sub-total (B)(3)		4565	3161700	0	0	3161700	96.2642	3161700	0	3161700	96.2642	0	96.2642	0	0.0000	0	0.0000	11300
	Total Public Shareholding		4565	3161700	0	0	3161700	96.2642	3161700	0	3161700	96.2642	0	96.2642	0	0.0000	0	0.0000	11300



NEW ERA ALKALOIDS & EXPORTS LIMITED
SHAREHOLDING PATTERN AS ON 30/09/2017

Table - IV Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

S.No.	Category & Name of the Shareholder (I)	PAN (II)	No of Shareholders (III)	No. of fully paid Equity Share Held (IV)	No. Of Partly Paid Equity Shares Held (V)	No. Of shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = IV+V+VI	Shareholding % as of total no of Shares (VIII) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (IX)				No of shares underlying outstanding convertible securities (including warrants) (X)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XI)=VII+X as a % of (A+B+C2)	No. of Locked In Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)		No of Equity Shares Held in Dematerialized form (XIV)
									Class X	Class Y	Total	Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(1)	Custodian/DR Holder																		
(a)	names																		
(2)	Employees Benefit Trust (under SEBI Based employees benefit) Regulation 2014																		
(a)	names																		
	Total Non-Promoter Non Public shareholding C = C1+C2																		

