NEW ERA ALKALOIDS & EXPORT LIMITED

REGD. OFFICE- 501, WALLFORT OZONE, FAFADIH, RAIPUR (C. G.) 492001 CIN- L24100CT1994PLC008842

17TH April, 2021

- Head- Listing & Compliance
 Metropolitan Stock Exchange of India
 Ltd. (MSEI)
 Vibgyor Towers, 4th floor,
 Plot No C 62, G Block,
 Opp. Trident Hotel,
 Bandra Kurla Complex,
 Bandra (E), Mumbai 400 098
- The Head Listing & Compliances, Calcutta Stock Exchange Limited,
 Lyons Range, Kolkata, (W. B.) – 700001

.....BY SPEED POST

Dear Sir/Madam,

SUBMISSION OF CERTIFICATE FOR THE HALF YEAR ENDED ON 31ST MARCH, 2021

We are submitting herewith the Certificate under regulation 40(9)&(10) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015 for the half year ended on 31ST March, 2021.

Kindly take the submission on records in terms of the above-mentioned regulation and acknowledge the same.

Yours faithfully,

(Monika Jain)

For, New Era Alkaloids & Exports Limited,

Company Secretary & Compliance Officer

Encl: As stated above

SATISH BATRA & ASSOCIATES LLP

COMPANY SECRETARY

2nd Floor, Ashoka Plaza, Ashoka Ratan, Vidhan Sabha Road, Post Shankar Nagar, Raipur (C. G.)

TO WHOM IT MAY CONCERN

CERTIFICATE UNDER REGULATION 40(9)&(10) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATION, 2015 FOR THE HALF YEAR ENDED ON 31ST MARCH, 2021

On the basis of confirmation received from BEETAL FINANCIAL & COMPUTER SERVICES P. LTD, Registrar & Share Transfer Agent of NEW ERA ALKALOIDS AND EXPORTS LTD, (CIN: L24100CT1994PLC008842) the Company, we hereby certify that, there was no request for transfer, sub-division, consolidation, renewal, endorsement, Transposition & Transmission has been received by the Company during the period from 1st October, 2020 to 31st March, 2021.

This certificate has been issued under Regulation 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulation, 2015.

For Satish Batra & Associates LLP,

Company Secretaries

Satish Kumar Batra Designated Partner

FCS No.: 1316, C.P. No. 2875

UDIN No: F001316C000032765

Date: 9th April, 2021 Place: Raipur (C. G.)