

# NEW ERA ALKALOIDS & EXPORT LIMITED

REGD. OFFICE- 21, FREE GANJ, RATLAM (M.P.) 457001  
CORP. OFFICE: 501, WALLFORT OZONE, FAFADIH, RAIPUR (C. G.) 492001  
CIN-L02423MP1994PLC008116

5<sup>th</sup> October, 2017

1. Head- Listing & Compliance Metropolitan Stock Exchange of India Ltd. (MSEI) Vibgyor Towers, 4th floor, Plot No C 62, G - Block, Opp. Trident Hotel, Bandra Kurla Complex, Bandra (E), Mumbai - 400 098	2. The Head - Listing & Compliances, Calcutta Stock Exchange Limited, 7, Lyons Range, Kolkata, (W. B.) - 700001
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Dear Sir/Madam,

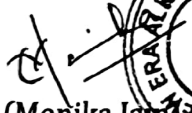
## **SUBMISSION OF REPORT ON CORPORATE GOVERNANCE FOR THE QUARTER ENDED ON 30<sup>th</sup> SEPTEMBER, 2017**

We are submitting herewith the Report on Corporate Governance under Regulation 27 (2) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015 for the quarter ended on 30<sup>th</sup> September, 2017.

Kindly take the submission on record in terms of Regulation 27 of the SEBI (LODR)

Regulations, 2015 and acknowledge the same.

Yours faithfully,  
For, New Era Alkaloids & Exports Limited,

  
(Monika Jain)

Company Secretary & Compliance Officer

Encl: As stated above.

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www.neweraltd.com

**Compliance Report on Corporate Governance**

1 Name of Listed Entity: New Era Alkaloids & Exports Limited  
2 Quarter ending: 30th September, 2017

I. Composition of Board of Directors										
Title (Mr./Ms)	Name of the Director	PAN*	DIN	Category (Chairperson/Executive/Non-Executive/independent/Nominee)*	Date of Appointment in the current term	Date of Ceassation	Tenure*	No of Directorship in listed entities including this listed entity (Refer Regulation 25 (1) of Listing regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26 (1) of Listing Regulations)	No. of post of Chairperson in Audit/Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	RAVINDRA POKHARANA	ADHPP3565G	01121333	Executive	1/4/2015		N/A	2	0	1
Mr.	RAVI KAMRA	AEVPK0805N	00745058	Executive	9/30/2016		N/A	3	5	2
Mr.	GHANSHYAM SONI	DPYPS8491R	07570887	Independent/ non-Executive	9/30/2016		5 YEARS	3	1	1
Mr.	TULSI RAM SAHU	BHOPS0901G	01395347	Independent/ non-Executive	9/28/2015		5 YEARS	1	1	0
Mrs.	SATYAWATI PARASHAR	ALCPP4985E	00761009	Independent/ non-Executive	9/28/2015		5 YEARS	4	2	1

\*PAN number of any director would not be displayed on the website of Stock exchange

\* Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen

\*to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.

II. Composition of Committees		
Name of Committee	Name of Committee members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)*



1	Audit committee	Mr. Tulsiram Sahu	Independent
		Mr. Ravi Kamra	Executive
2	Nomination & Remuneration Committee	Mrs. Satyawati Parashar	Independent
		Mr. Ravindra Pokharana	Executive
		Mr. Tulsiram Sahu	Independent
		Mrs. Satyawati Parashar	Independent
3	Risk management Committee (if applicable)	N/A	
4	Stakeholders Relationship committee'	Mr. Ravi Kamra	Executive
		Mr. Ravindra Pokharana	Executive
		Mr. Tulsiram Sahu	Independent
		Mrs. Satyawati Parashar	Independent

\*Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen

### III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)
30/05/2017	-	-
	17/07/2017	48
	14/08/2017	27

### IV. Meeting of Committees

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
12/8/2017	YES	29/05/2017	73

\* This information has to be mandatorily be given for audit committees, for rest of the committee giving this information is optional



V. Related Party Transactions		
Subject	Compliance status (Yes/No/NA) <sup>refer note below</sup>	
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	NA	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	
<b>1 Note</b> In the column "Compliance status", compliance or non-compliance may be indicated by Yes/No/NA.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the listed entity has no related party transactions, the words "N.A." may be indicated.		
<b>2</b> if status is "No" details of no-compliance may be given here.		
<b>VI. Affirmations</b>		
<b>1</b> The composition of Board of Directors in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015		
<b>2</b> The composition of the following committees is in terms of SEBI (listing obligations and disclosure requirements) Regulations, 2015		
a) Audit Committee		
b) Nomination & remuneration committee		
c) Stakeholders relationship committee		
d) Risk management committee (applicable to the top 100 listed entities)		
<b>3</b> The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.		
<b>4</b> The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.		
<b>5</b> This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of directors may be mentioned here: NIL		
<b>Note:</b> Information at Table I and II above need to be necessarily given in 1st quarter of each financial year. However if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.		
<b>Note:</b> Regulation 17 to 27 of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 is not applicable to the Company as the Company is neither having paid up share capital of or exceeding Rs.10.00 crore and a net worth of or exceeding Rs.25.00 crores Compliance is made at the discretion for good Corporate Governance.		



Securities and Exchange Board of India

Format to be submitted by listed entity at the end of 6 months after end of financial year along with second quarter report of next financial year

I Affirmations		
Broad heading	Regulation number	Compliance status (Yes/No/NA) <sup>refer note below</sup>
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	YES
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	YES
Presence of Chairperson of the nomination and remuneration committee at the Annual General Meeting	19(3)	YES
Whether "corporate Governance Report" disclosed in annual Report	34(3) read with para C of Schedule V	YES
<b>Note</b>		
1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example if the board has been composed in accordance with the requirements of Listing regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.		
2 If status is "NO" details of non-compliance may be given here.		



3. If the Listed Entity would like to provide any other information the same may be indicated

Name & Designation



CS MONIKA JAIN, Company Secretary & Compliance Officer